

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Burrell et al
Serial No. : Not Yet Assigned
Filed :
Title : LUBRICIOUS COATINGS FOR SUBSTRATES

Art Unit : Unknown
Examiner : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the attached form PTO-1449, listing documents of record in the parent application(s). Please confirm that these have been considered in this application by returning a copy of the Form PTO 1449 with the examiner's initials.

This statement is being filed with the application. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,



Sean P. Daley
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U.S. DEPT. OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

ATTY. DKT. NO.

14072-012001

SERIAL NO.

10/131,513

APPLICANT

Burrell et al.

FILING DATE

April 23, 2002

GROUP

1616

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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 14072-012001 | Application No. 10/131,513 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | | | Applicant Burrell et al | |
| | | | | Filing Date April 23, 2002 | Group Art Unit 1616 |

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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 14072-012001 | Application No. 10/131,513 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Burrell et al | | |
| | | Filing Date April 23, 2002 | Group Art Unit 1616 | |

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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes <input type="checkbox"/> No <input type="checkbox"/> |
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| | ALLL | CN1262093 | 08/09/00 | CN (abstract only) | | | X |
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| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

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| Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small> | | Applicant Burrell et al | | |
| | | Filing Date April 23, 2002 | Group Art Unit 1616 | |

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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
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| | AOOOO | Shigemasa et al., "Applications of Chitin and Chitosan for Biomaterials" <i>Biotechnology & Genetic Engineering Reviews</i> Vol. 13 (14) pp. 383-420 |
| | APPPP | Thornton, "Deposition Technologies for Films and Coatings: Coating Deposition by Sputtering" <i>Materials Science Series 5</i> pp. 170-243 1982 |

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| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | | | Applicant Burrell et al | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes <input type="checkbox"/> No <input type="checkbox"/> |
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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 14072-012002 | Application No. Not Yet Assigned |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Burrell et al | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |
| | AL | | | | | | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AP | | | | | | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | |
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| Examiner Initial | Desig. ID | Document |
| | AQ | |
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| | AT | |

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| Examiner Signature | Date Considered |
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